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TRANSMITTAL	Docket I 3237	
In Re Application Of:	ALEXANDER KNÜTTEL	

No.

Application No. Group Art Unit | Confirmation No. Filing Date Examiner Customer No. 08/11/2004 **UNKNOWN** 26822 **UNKNOWN UNKNOWN** 10/504,397

-COHERENCE INFEROMETRIC DEVICE FOR LIGHT-OPTICAL SCANNING OF AN OBJECT

Address to:

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

## 37 CFR 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - ☐ the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 3237 ALEXANDER KNÜTTEL In Re Application: Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 10/504,397 08/11/2004 **UNKNOWN** 26822 **UNKNOWN UNKNOWN** LOW-COHERENCE INFEROMETRIC DEVICE FOR LIGHT-OPTICAL SCANNING OF AN Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 08-0114 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile\* Certificate of Mailing by First Class Mail I hereby certify that this correspondence is being deposited with I certify that this document and authorization to charge deposit the United States Postal Service with sufficient postage as first account is being facsimile transmitted to the United States Patent class mail in an envelope addressed to "Commissioner for and Trademark Office (Fax. No. Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on **OCTOBER 8, 2004** (Date) (Date) Signature Signature of Person Mailing Correspondence WALTER A. HACKLER Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate \*This certificate may only be used if paying by deposit account. Dated: OCTOBER 8, 2004 Signature WALTER A. HACKLER, Ph.D. PATENT LAW OFFICE 2372 S.E. BRISTOL STREET, SUITE B **NEWPORT BEACH, CALIFORNIA 92660-0755** TEL: (949) 851-5010 FAX: (949) 752-1925

CC:

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

heet 1 of

Complete if Known					
Application Number	10/504,397				
Filing Date	AUGUST 11, 2004				
First Named Inventor	ALEXANDER KNÜTTEL				
Art Unit	UNKNOWN				
Examiner Name	UNKNOWN				
Attorney Docket Number	3237				

[.&/	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Document Number  Rumber Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US- 5,321,501 A	06-14-1994	SWANSON, et al.				
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Foreign Patent Do  Number  Number	Kind⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
				(if known)			Figures Appear	T <sup>6</sup>
		DE	10033189 C1		09-06-2001	ALEXANDER KNUTTEL		
		DE	19704602 A1		09-06-2001	CARL ZEISS JENA GmbH		
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Examiner	Date	 	
Signature	Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (opfional). See Kinds Codes of USPTO Patent Documents at.www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Stantlard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Stantlard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.